

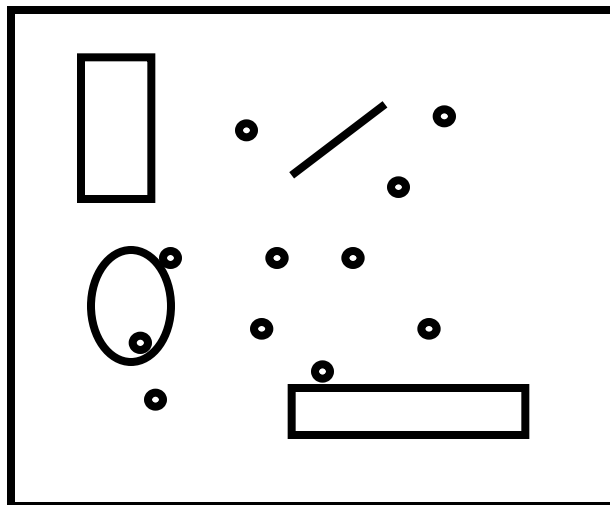
# *Spatial Index Structures*

## *(R-tree Family)*

**Instructor: Cyrus Shahabi**

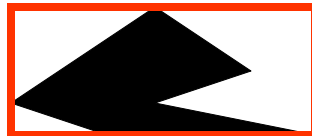
# Problem

- Given a collection of geometric objects (points, lines, polygons, ...)
- organize them on disk, to answer spatial queries (range, nn, etc)



# R-trees

- [Guttman 84] Main idea: extend B+-tree to multi-dimensional spaces!
  - (only deal with Minimum Bounding Rectangles - **MBRs**)



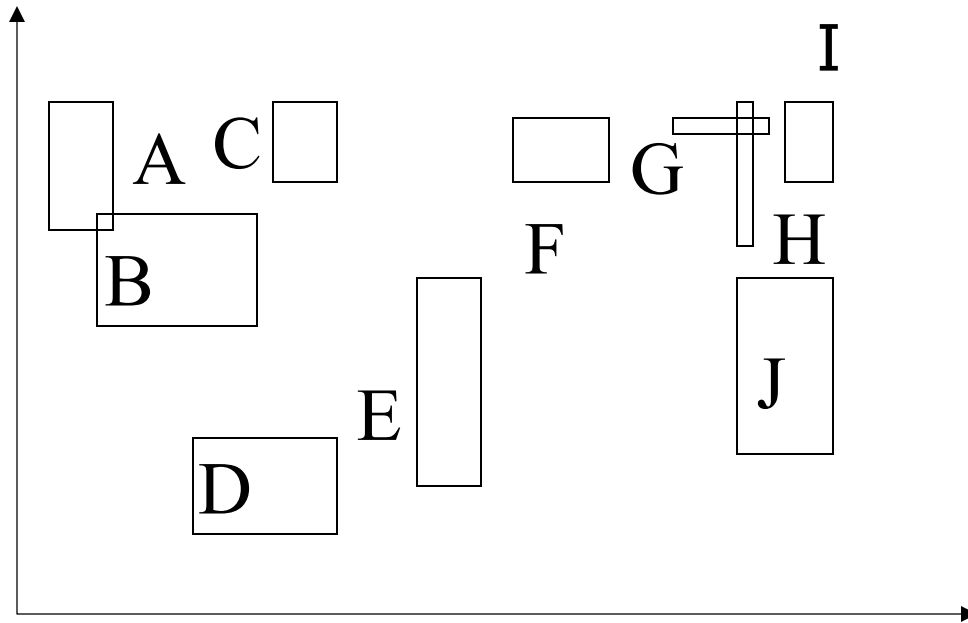


# R-trees

- A multi-way external memory tree
- Index nodes and data (leaf) nodes
- All leaf nodes appear on the same level
- Every node contains between  $m$  and  $M$  entries
- The root node has at least 2 entries (children)

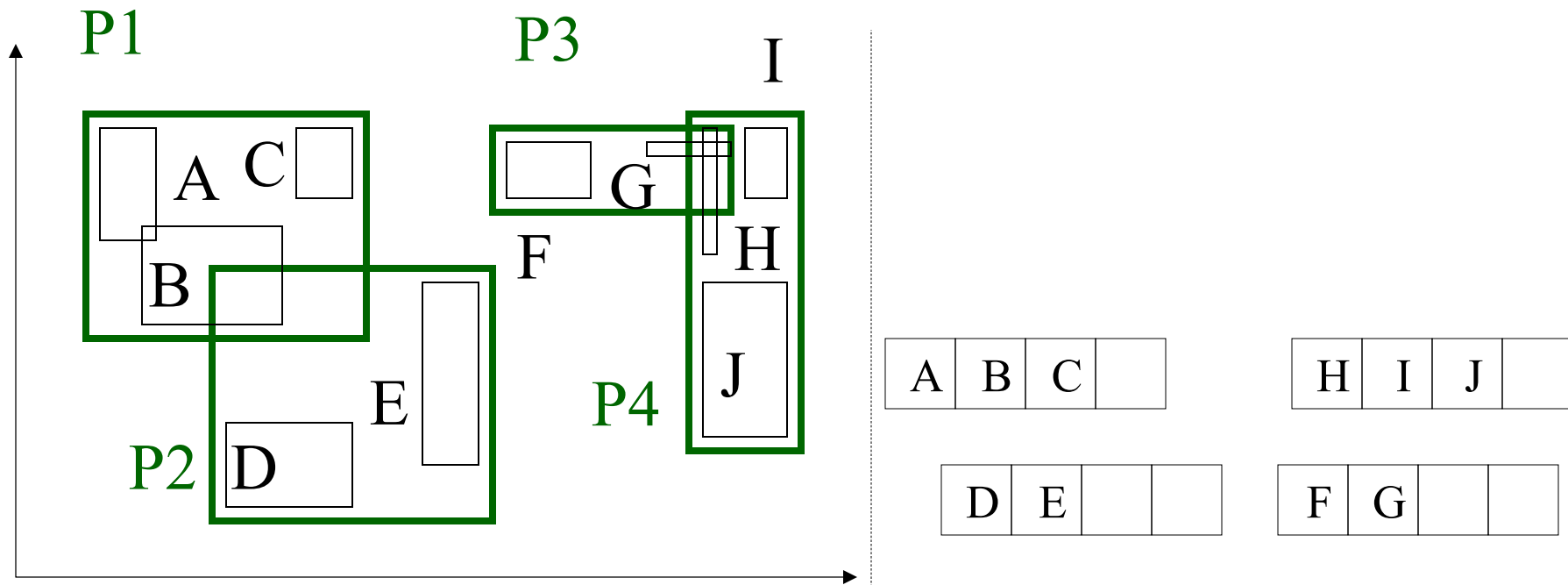
# Example

- eg., w/ fanout 4: group nearby rectangles to parent MBRs; each group -> disk page



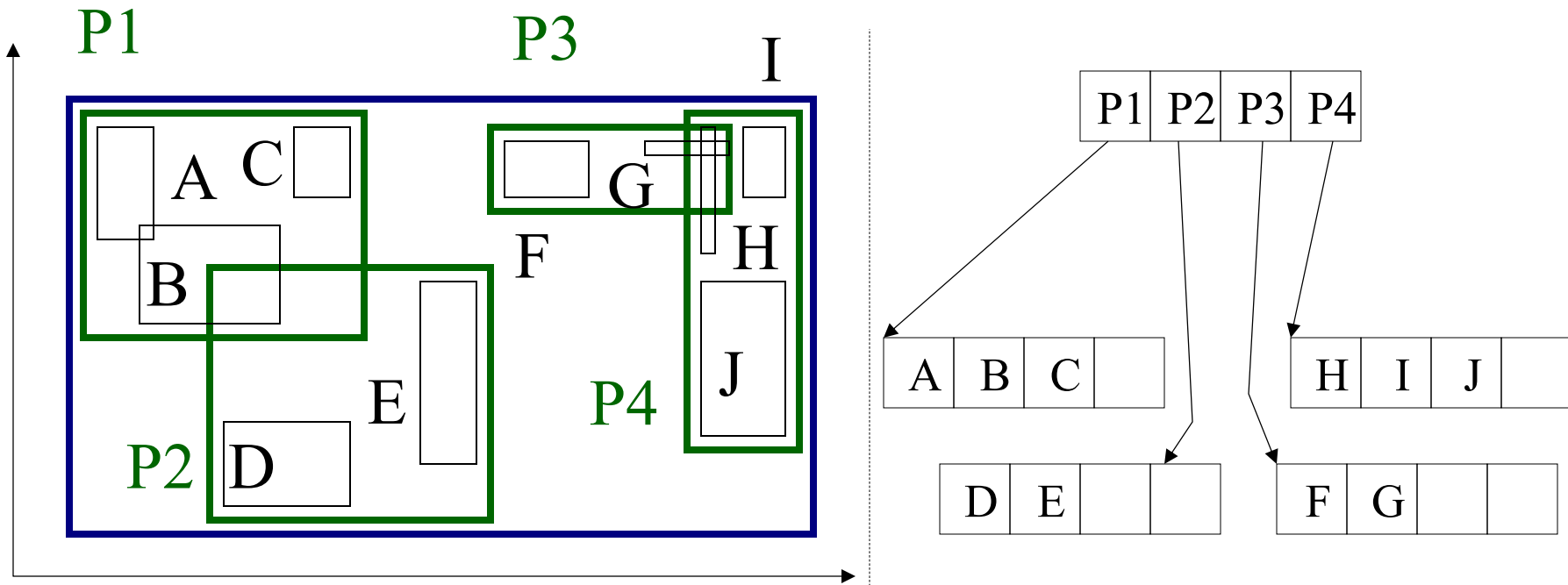
# Example

- $F=4$



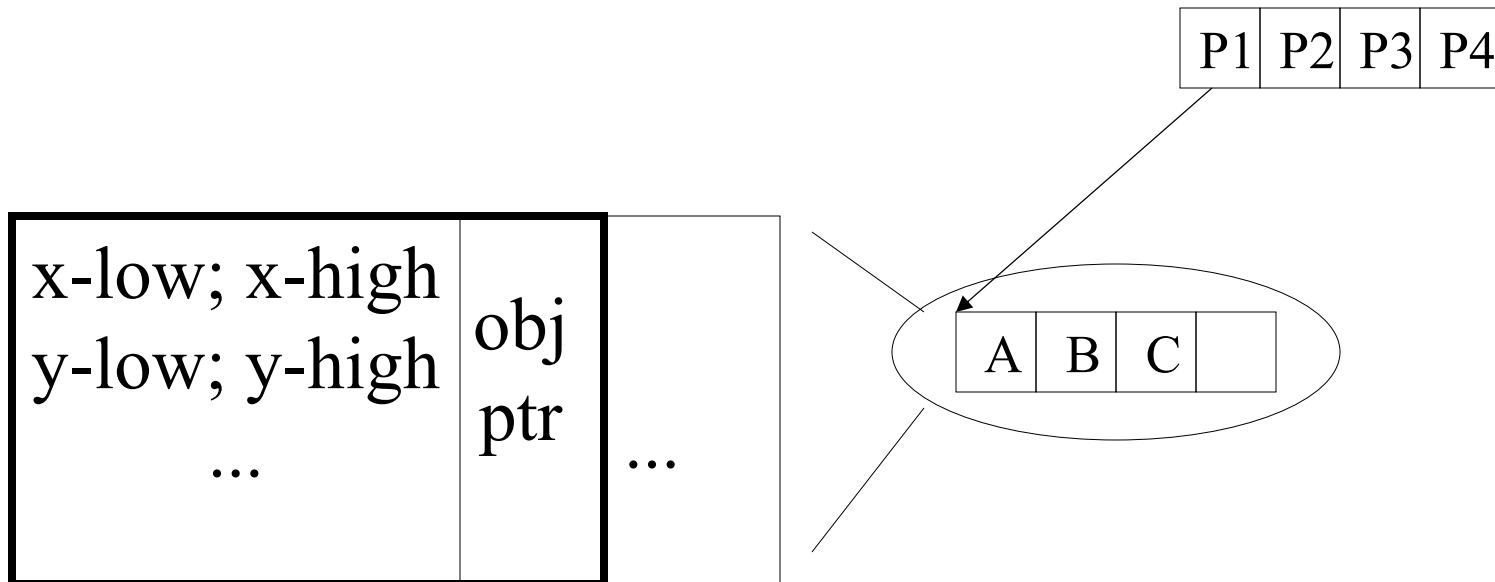
# Example

- $F=4$



# R-trees - format of nodes

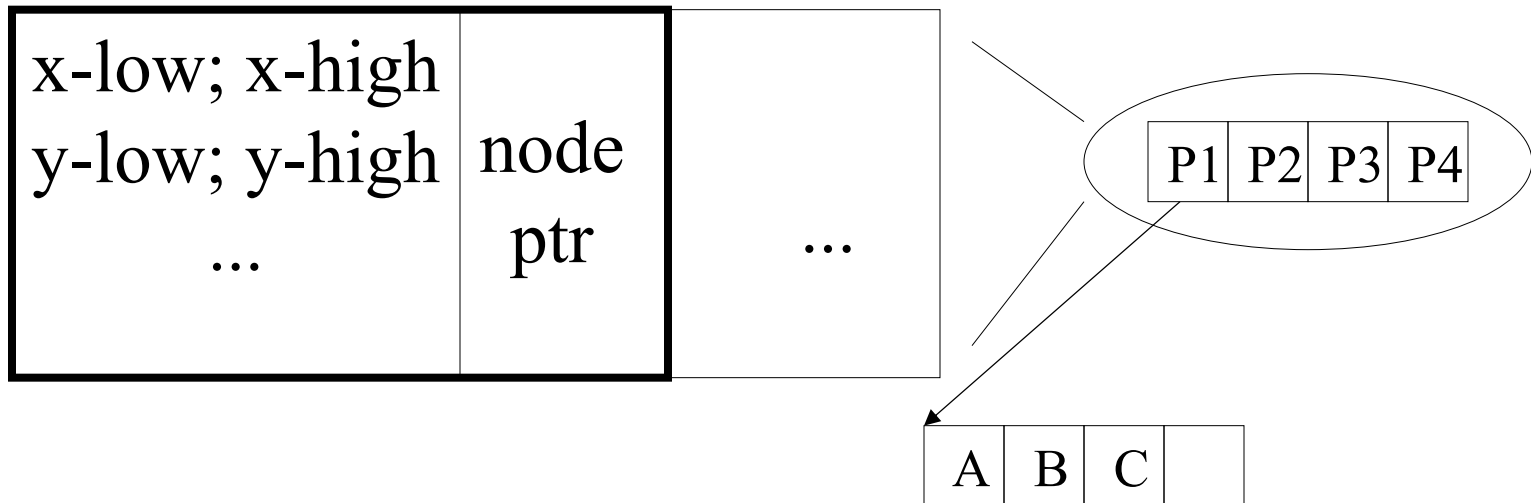
- {(MBR; obj\_ptr)} for leaf nodes

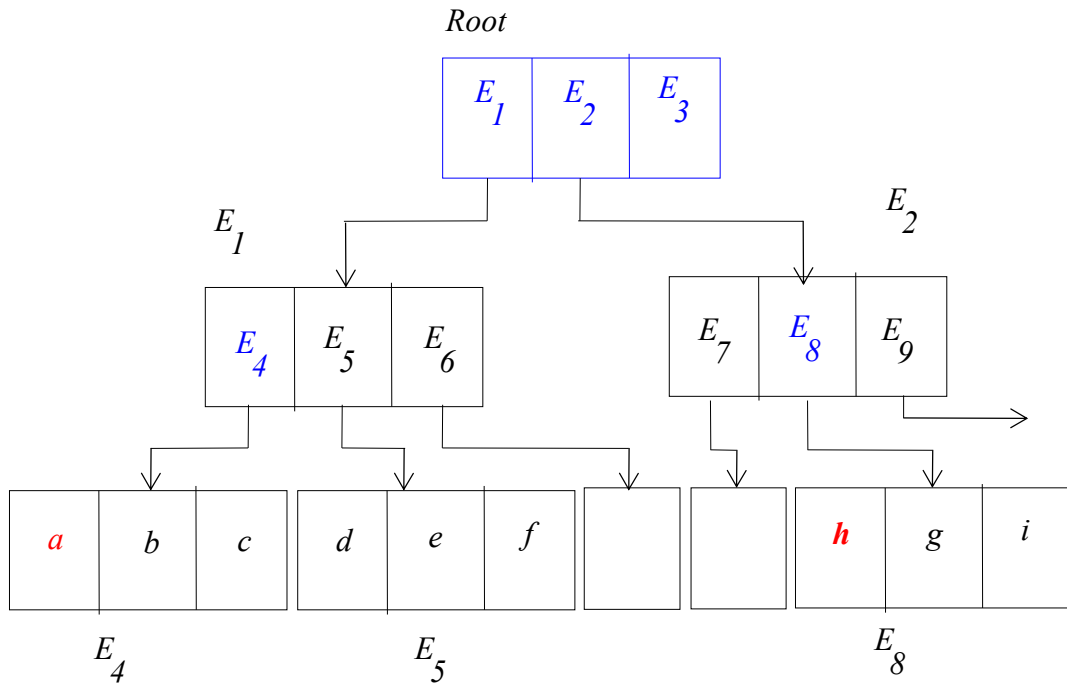
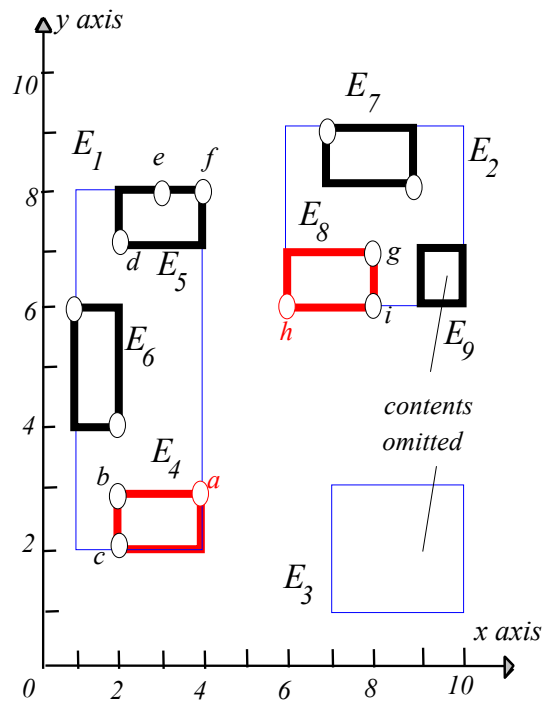




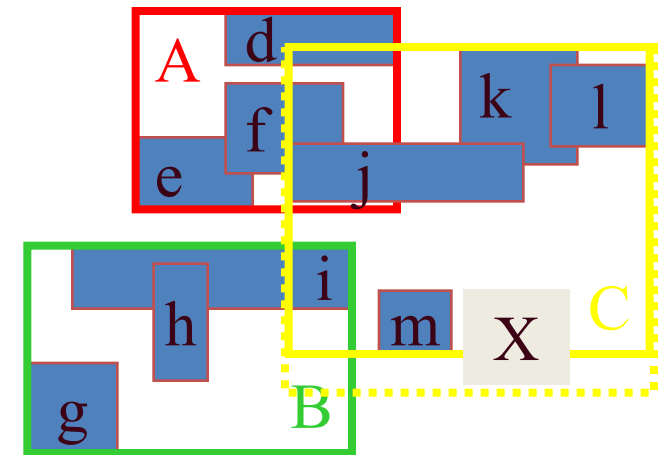
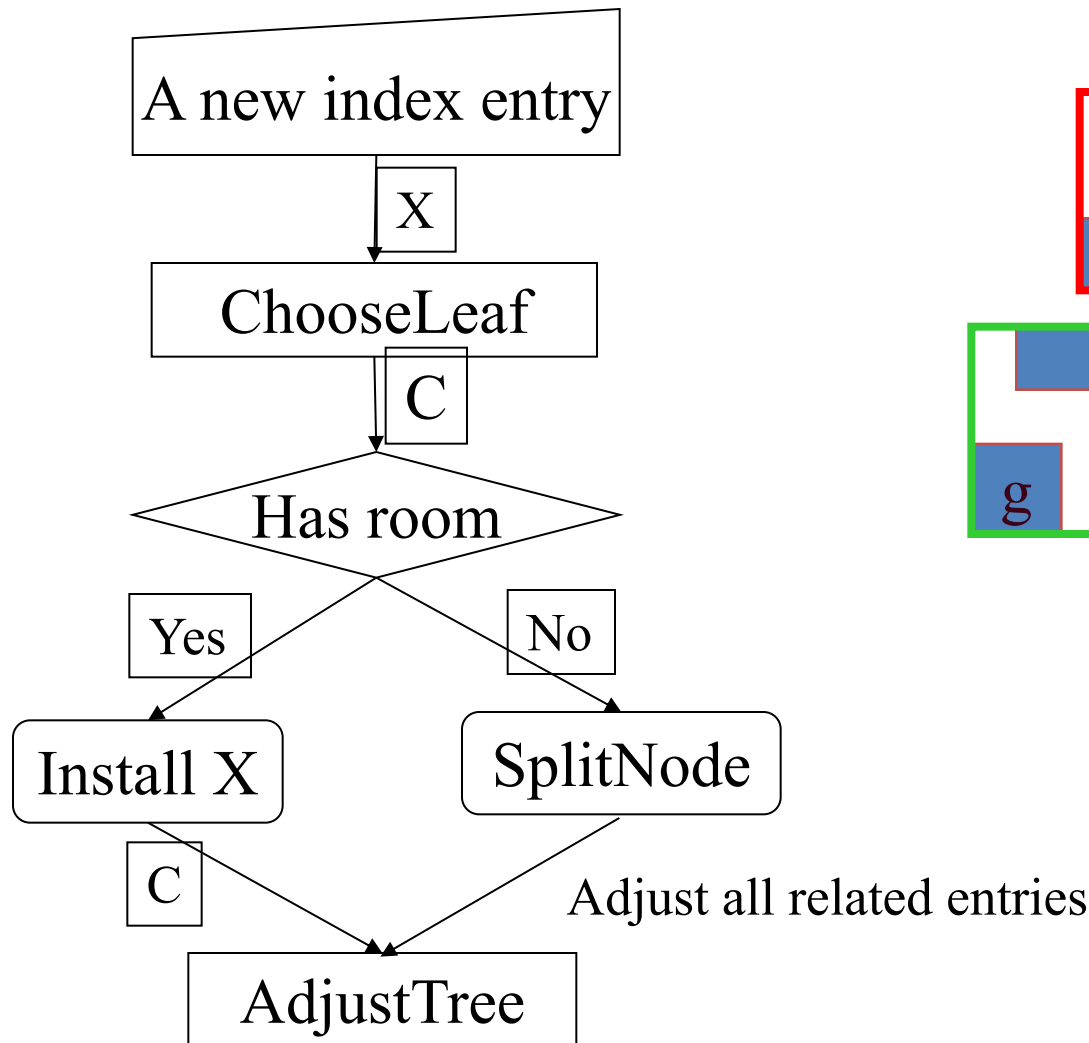
# R-trees - format of nodes

- {(MBR; node\_ptr)} for non-leaf nodes





# Insertion Processes



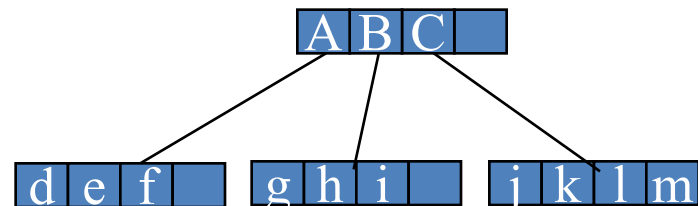
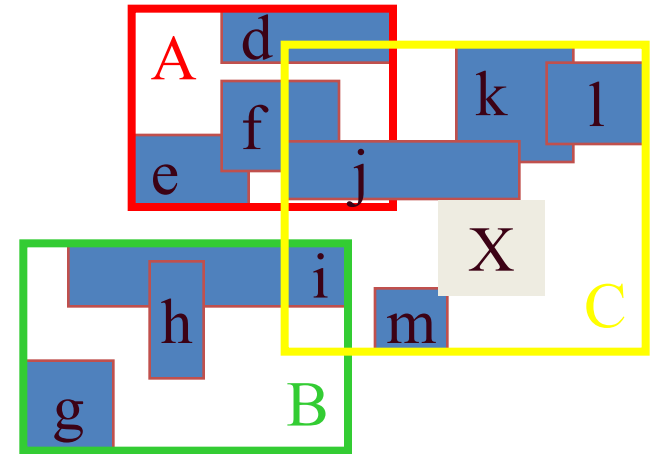
Different variant:

- Exhaustive
- Quadratic
- Linear
- Packed
- Hilbert Packed
- ...etc.

# Processes of Quadratic Split

(page 52 in Guttman's paper [1])

Pick first entry for each group  
Run PickSeeds



# Processes of Quadratic Split

(page 52 in Guttman's paper)

PickSeeds

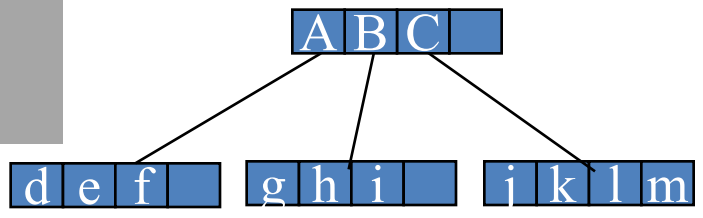
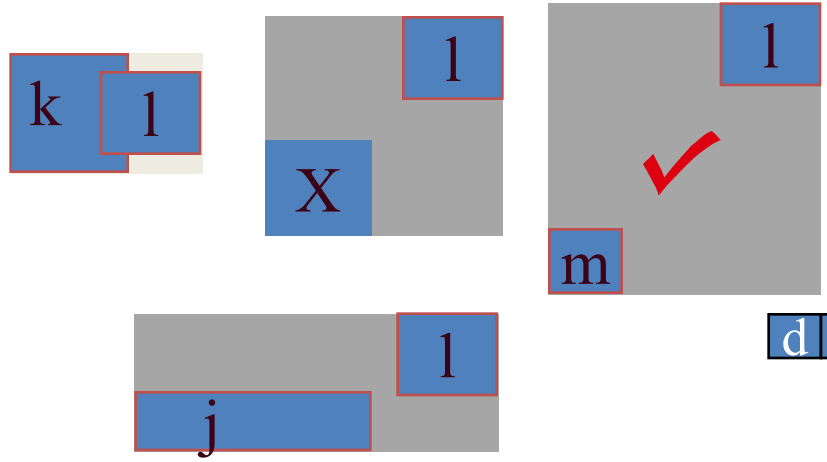
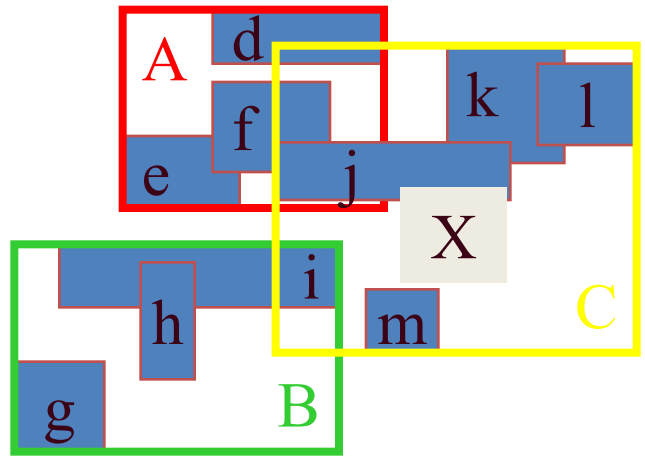
PS1 [Calculate inefficiency of grouping entries together]

For each pair of E1 and E2, compose a rectangle R including E1 and E2

Calculate  $d = \text{area}(R) - \text{area}(E1) - \text{area}(E2)$

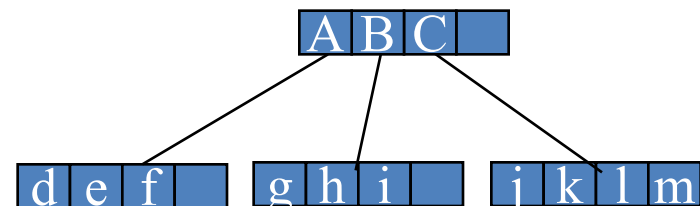
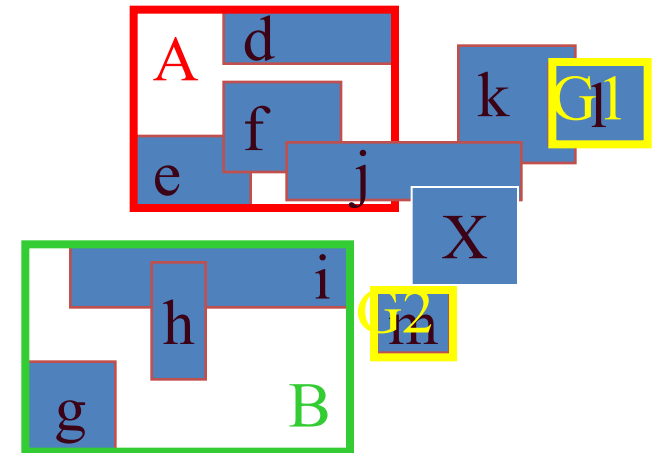
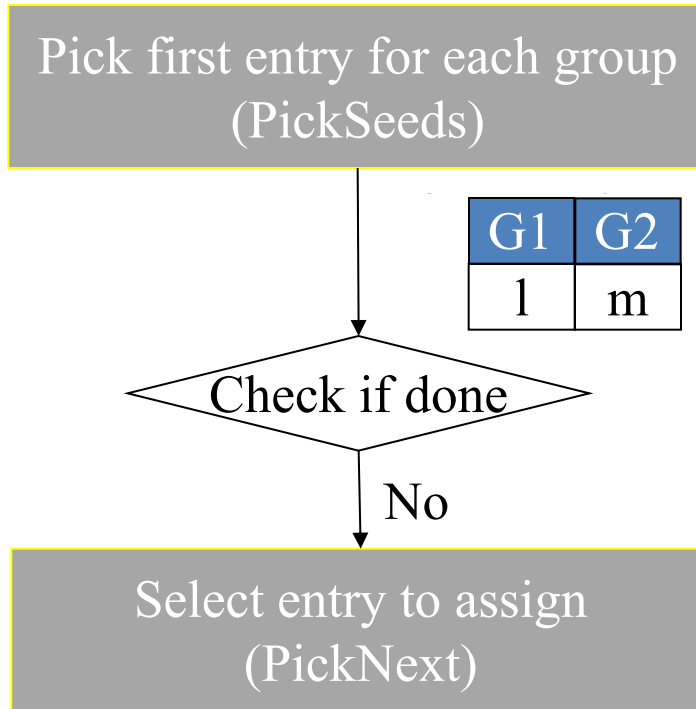
PS2 [Choose the most wasteful pair]

Choose the pair with the largest d



# Processes of Quadratic Split

(page 52 in Guttman's paper)

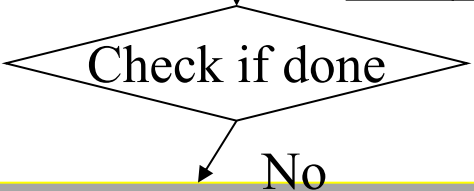


# Processes of Quadratic Split

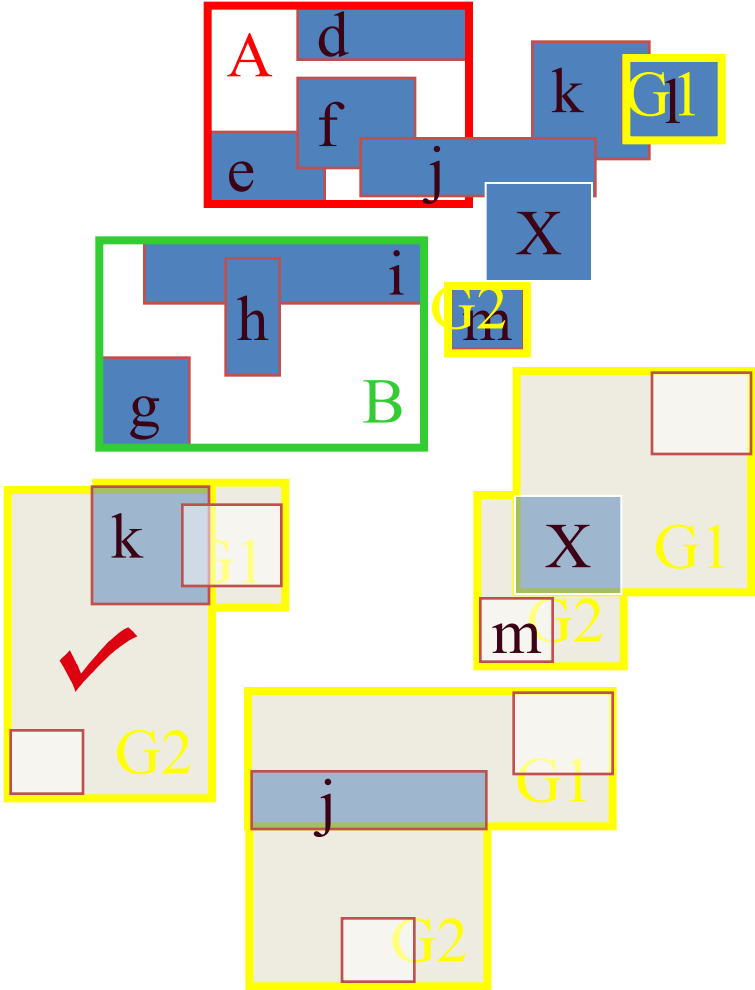
(page 52 in Guttman's paper)

Pick first entry for each group  
(PickSeeds)

|    |    |
|----|----|
| G1 | G2 |
| l  | m  |

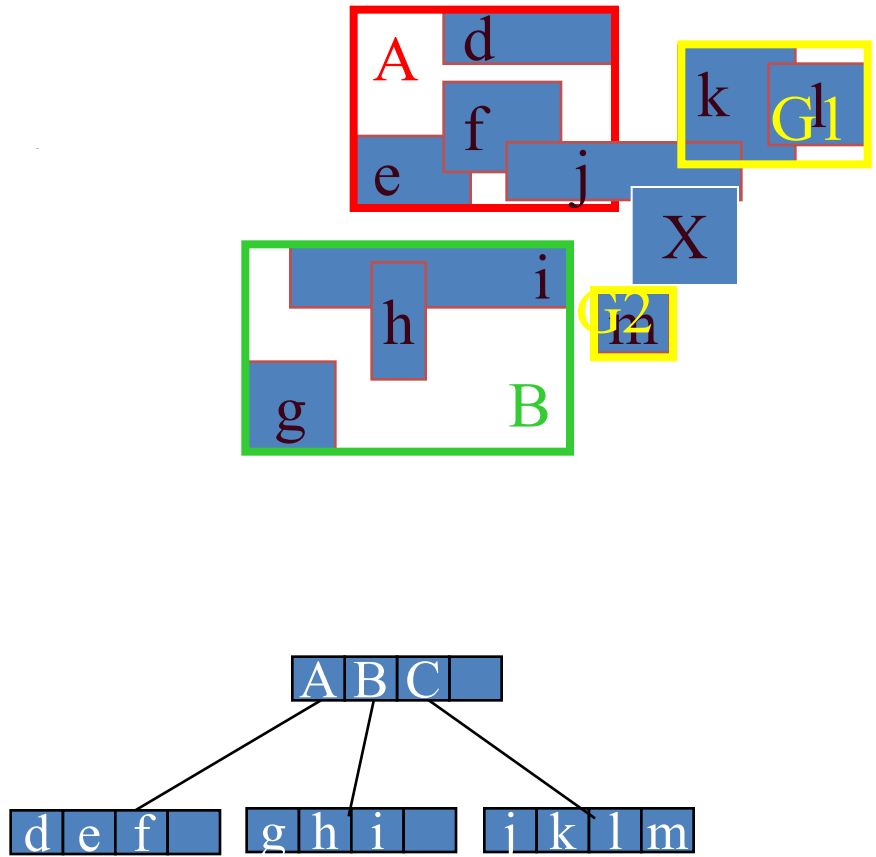
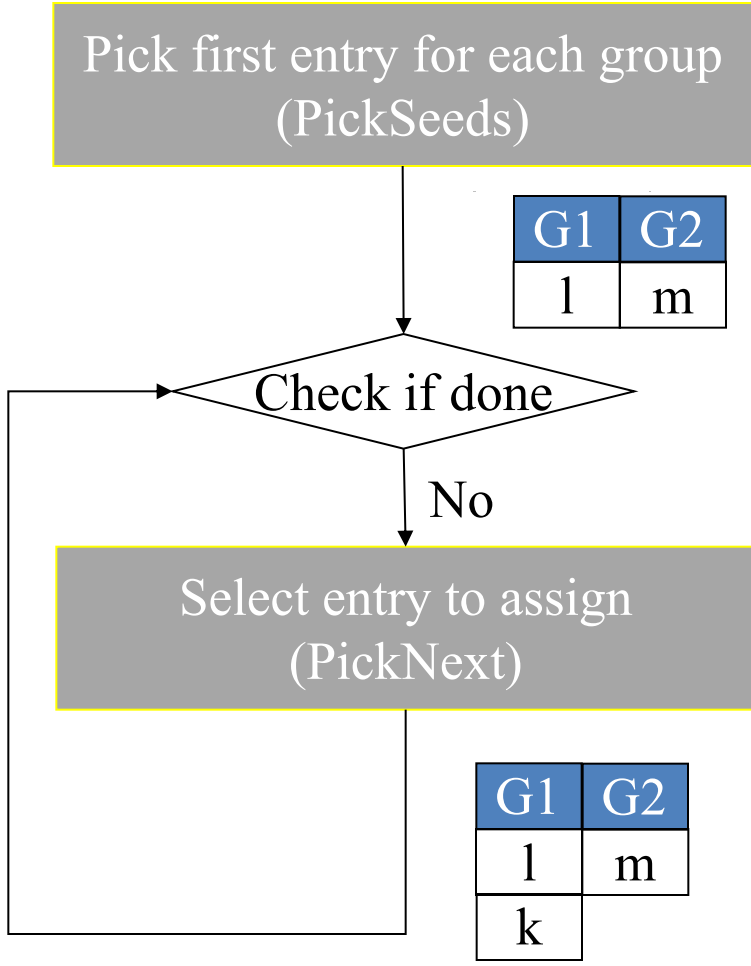


**PickNext**  
PN1 [Determine cost of putting each entry in each group] For each entry E  
calculate  $d1$  = the increased MBR area required for G1  
calculate  $d2$  = the increased MBR area required for G2  
PN2 [Find entry with greatest preference for one group]  
Choose the entry with the maximum difference between  $d1$  and  $d2$



# Processes of Quadratic Split

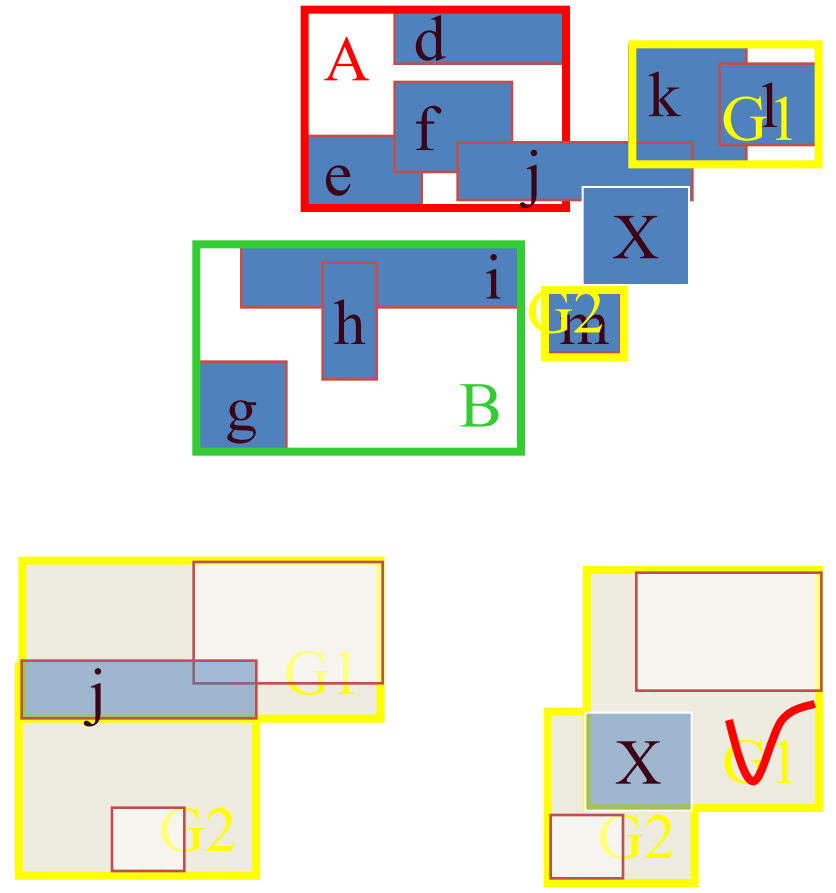
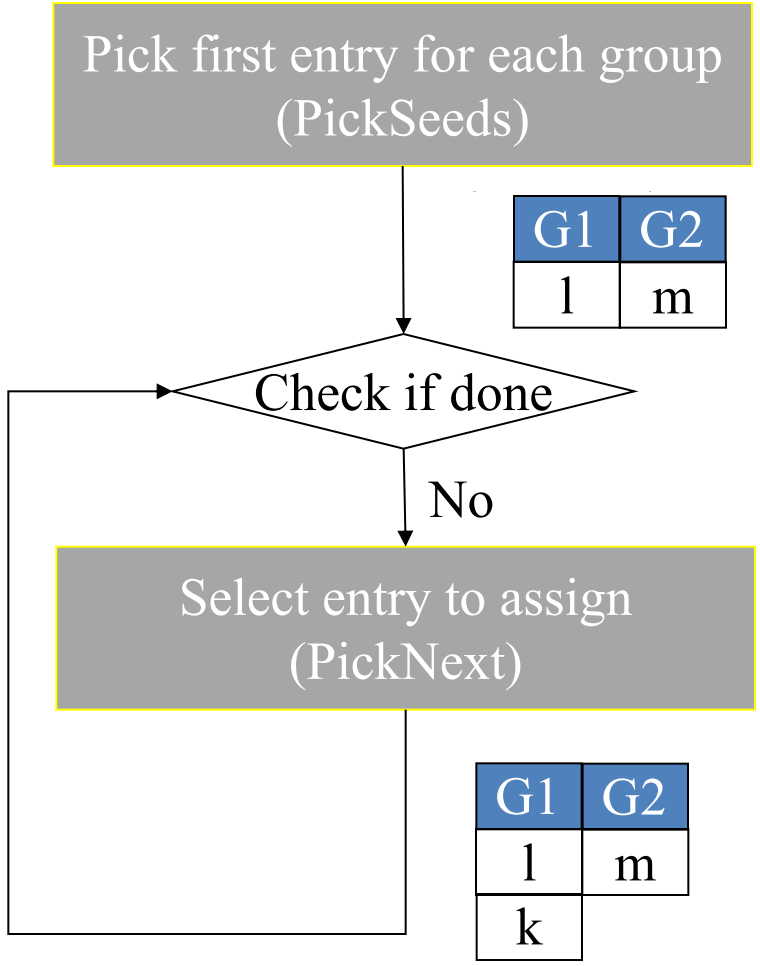
(page 52 in Guttman's paper)





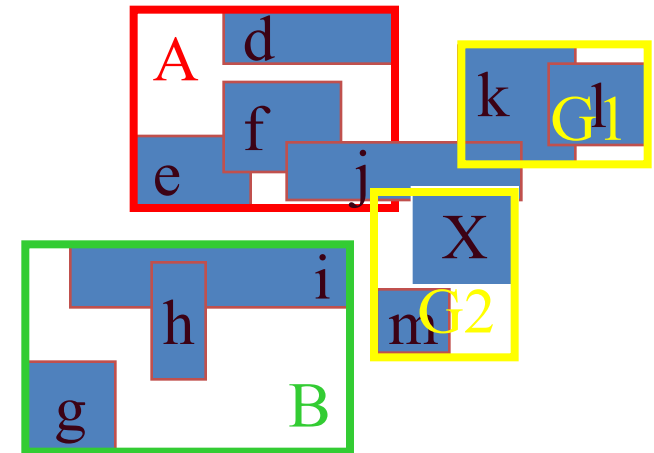
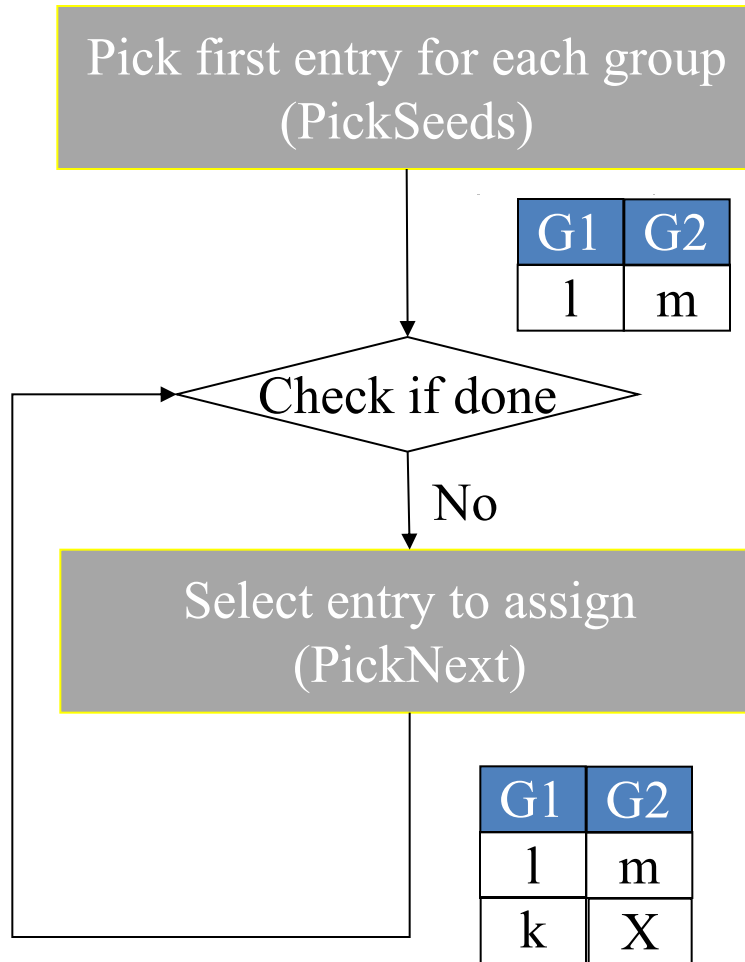
# Processes of Quadratic Split

(page 52 in Guttman's paper)



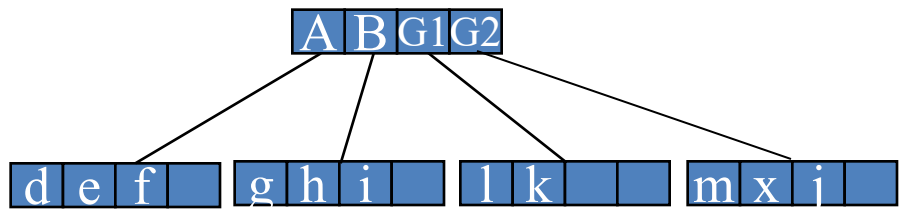
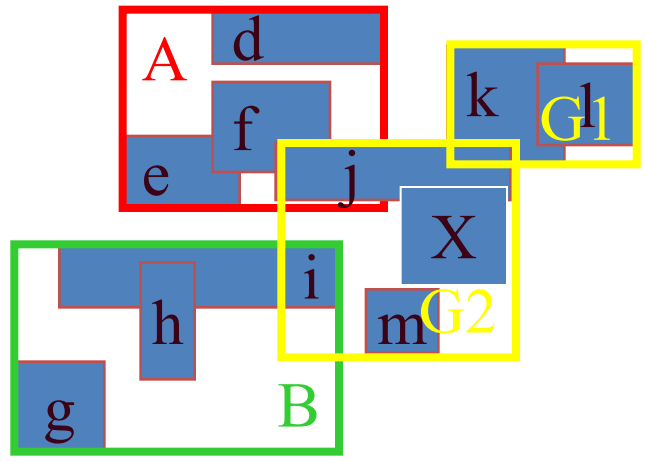
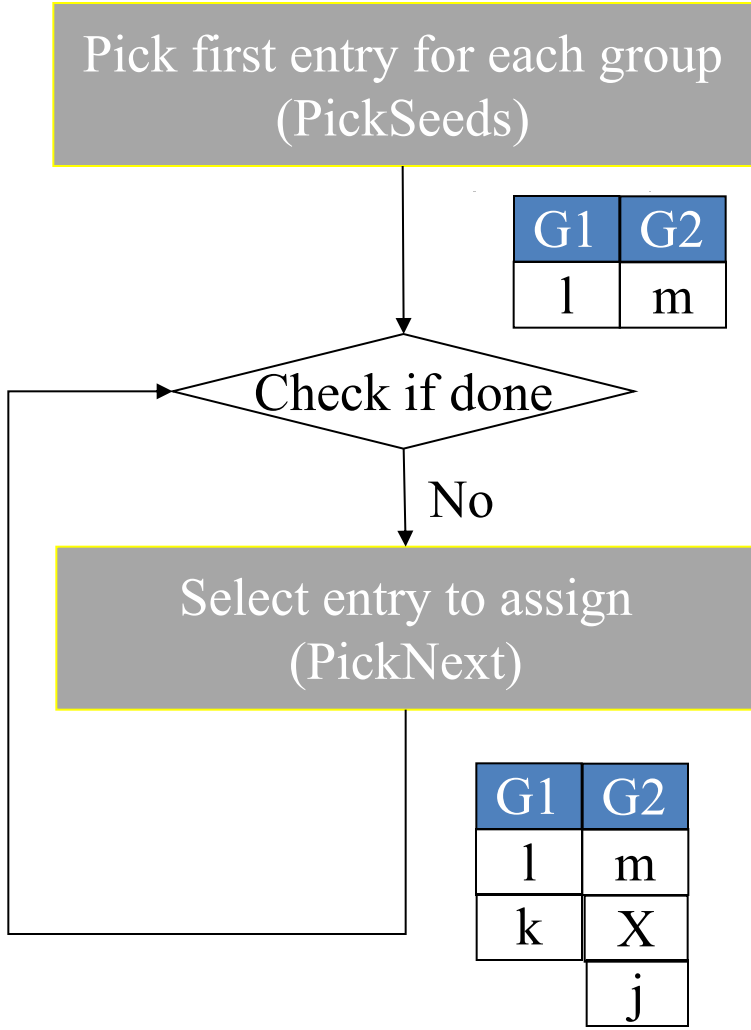
# Processes of Quadratic Split

(page 52 in Guttman's paper)



# Processes of Quadratic Split

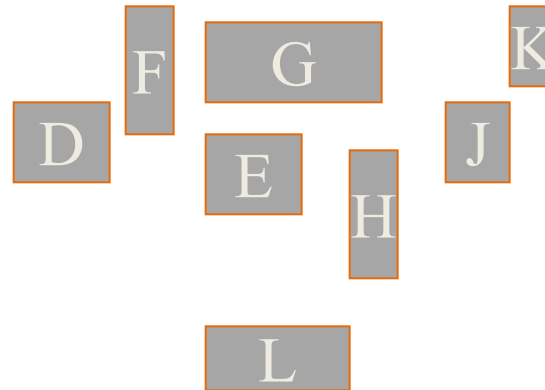
(page 52 in Guttman's paper)





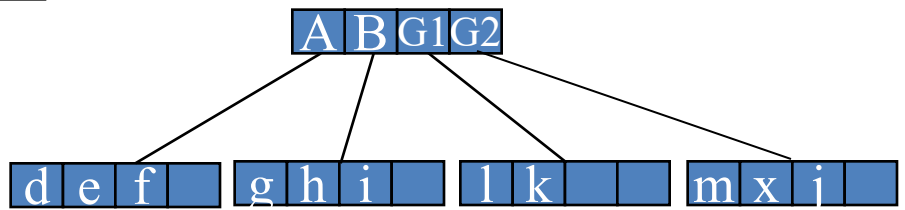
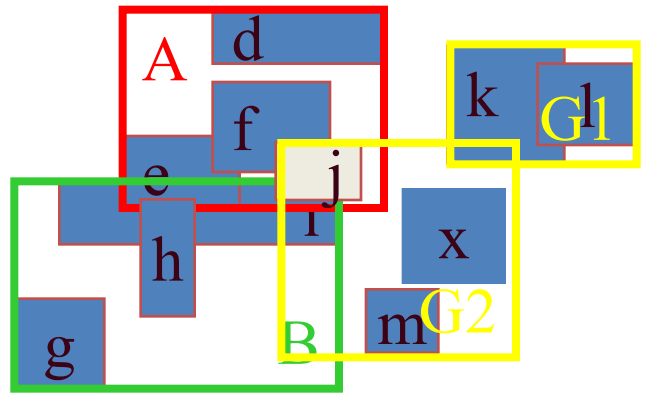
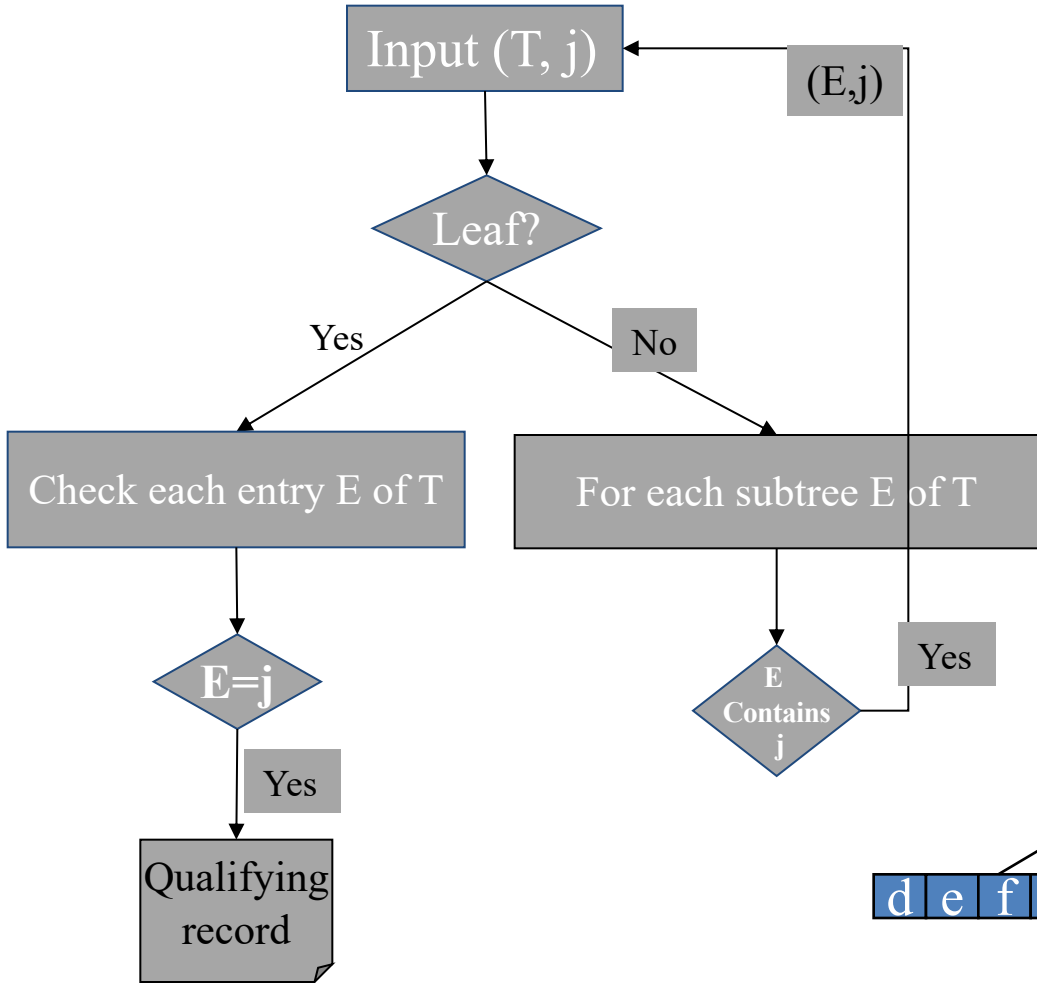
# Excercise

- $(m, M) = (2, 4)$

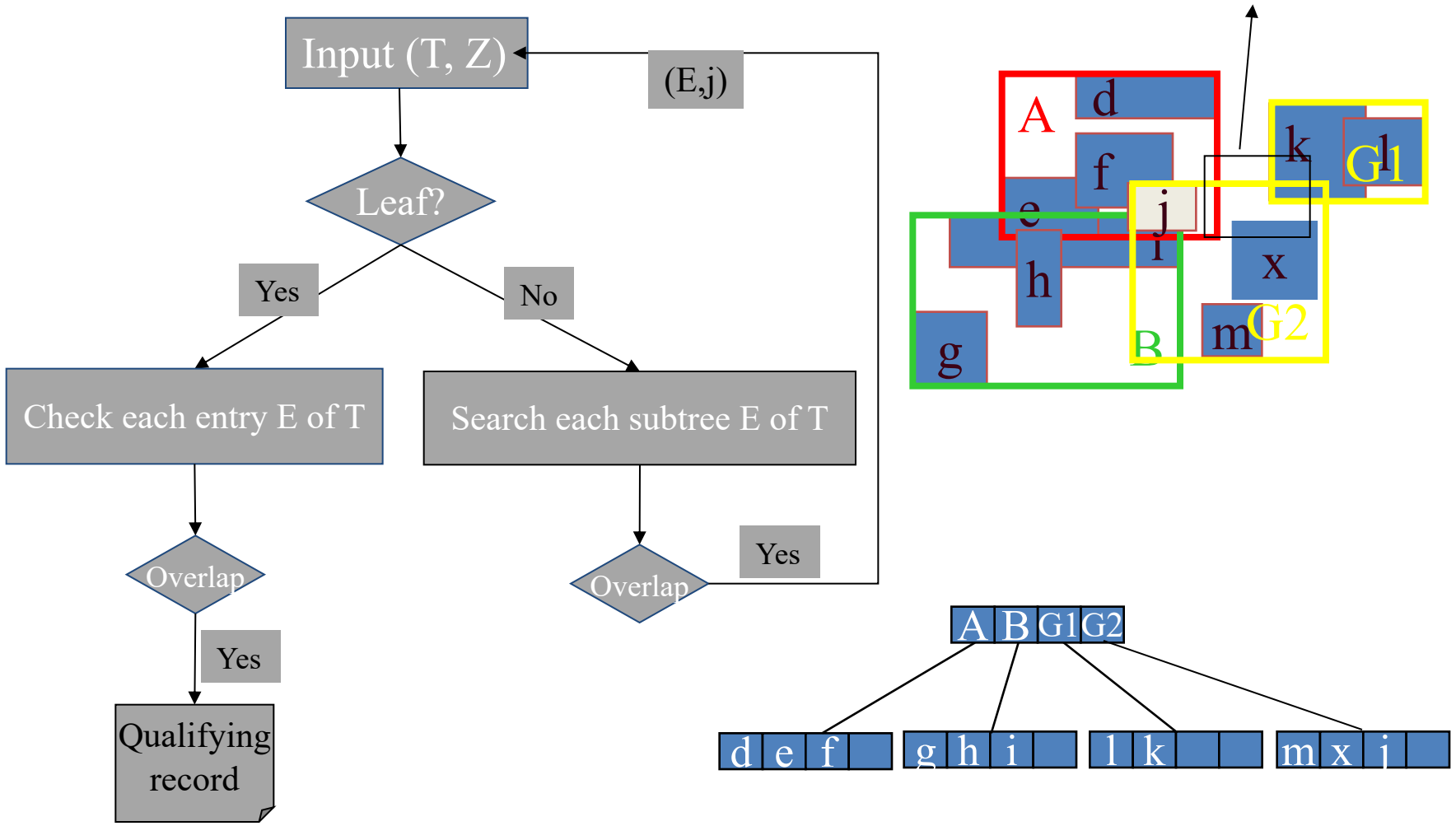


- Build a R-Tree for these spatial data
- Hint: You could use the Spatial index structures demo application step by step

# Search Object in R-Tree



# Overlap query in R-Tree (find objects that overlap with Z)



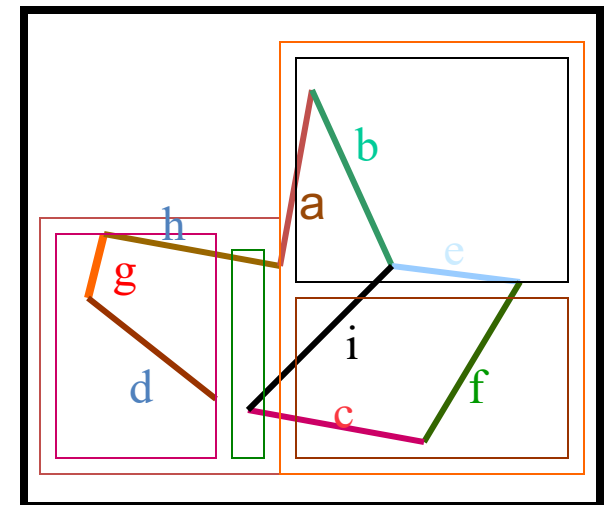
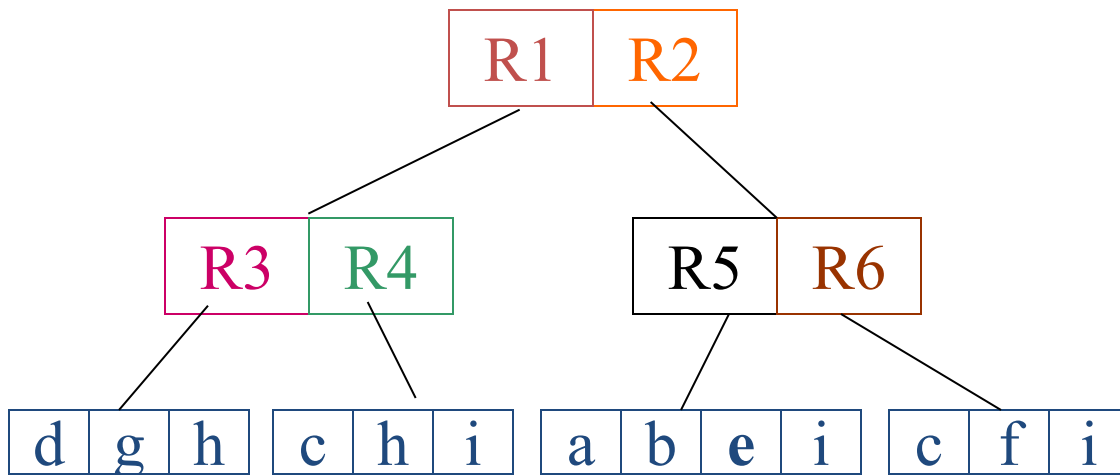


# Main Drawbacks of R-Tree

- R-tree is not unique, rectangles depend on how objects are inserted and deleted from the tree.
- In order to search some object you might have to go through several rectangles or the whole database
  - Why?
  - Solution?

# R<sup>+</sup>-Tree

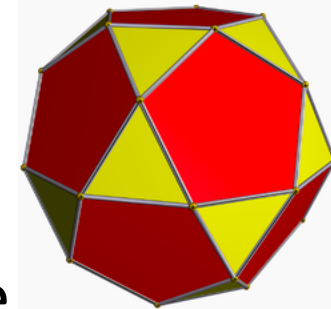
- Overcome problems with R-Tree
- If node overlaps with several rectangles insert the node in all
- Decompose the space into disjoint cells





# R<sup>+</sup>-Tree Properties

- R+-tree and cell-trees used approach of decomposing space into cells
  - R+-trees deals with collection of objects bounded by rectangles
  - Cell tree deals with collection of objects bounded by convex polyhedron
- R+-trees is extension of k-d-B-tree
- Retrieval times are smaller
- When summing the objects, needs eliminate duplicates
- Again, it is data-dependent





# R-tree

- The original R-tree tries to minimize the area of each enclosing rectangle in the index nodes.
- Is there any other property that can be optimized?

R\*-tree → Yes!

# R\*-tree

- Optimization Criteria:
  - (O1) Area covered by an index MBR
  - (O2) Overlap between index MBRs
  - (O3) Margin (perimeter) of an index rectangle
  - (O4) Storage utilization
- Sometimes it is impossible to optimize all the above criteria at the same time!

# R\*-tree

- ChooseSubtree:
  - If next node is a leaf node, choose the node using the following criteria:
    - Least overlap enlargement
    - Least area enlargement
    - Smaller area
  - Else
    - Least area enlargement
    - Smaller area

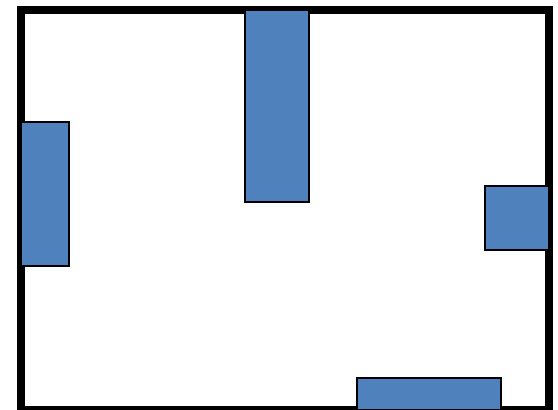


# R\*-tree

- SplitNode
  - Choose the axis to split
  - Choose the two groups along the chosen axis
- ChooseSplitAxis
  - Along each axis, sort rectangles and break them into two groups ( $M-2m+2$  possible ways where one group contains at least  $m$  rectangles). Compute the sum  $S$  of all margin-values (perimeters) of each pair of groups. Choose the one that minimizes  $S$
- ChooseSplitIndex
  - Along the chosen axis, choose the grouping that gives the minimum overlap-value

# R\*-tree

- Forced Reinsert:
  - defer splits, by forced-reinsert, i.e.: instead of splitting, temporarily delete some entries, shrink overflowing MBR, and re-insert those entries (hopefully they'll end up in an adjacent node so need for split)
- Which ones to re-insert?
- How many? A: 30%





# References

- Antonin Guttman, R-trees: a dynamic index structure for spatial searching, Proceedings of the 1984 ACM SIGMOD international conference on Management of data, June 18-21, 1984, Boston, Massachusetts
- Norbert Beckmann, et al. , The R\*-tree: an efficient and robust access method for points and rectangles, SIGMOD 1990
- Roussopoulos et al. , The R+-Tree: A Dynamic Index for Multi-Dimensional Objects, VLDB 1987
- National Technical University of Athens , Theoretical Computer Science II: Advanced Data Structures